

2007-005

2070709

RETIREMENT SYSTEM FINANCIAL DISCLOSURE REPORT

Pursuant to LSA-R.S. 42:114.2, each person who has or is seeking to obtain a confidential or other position or financial relationship with a state or statewide public retirement system shall file with the Board of Ethics in Finance a disclosure report containing all of the personal financial circumstances of five hundred dollars or more in a calendar year. Report of spending expenditures for retirement officials shall be filed by August 1st, covering January 1 through June 30 of the calendar year and by February 1st, covering January 1 through 31 of the calendar year. Although there is no registration requirement under R.S. 42:114.2, you shall be required to register and report under LSA-R.S. 42:114.2.

Reports may be mailed or delivered to: Board of Ethics, 1415 Canal Street, Room 1000, New Orleans, LA 70130
OR
Faxed to: (225)763-8787 or (225)763-8780

REPORT COVERING:

- G JANUARY 1 through JUNE 30, 2007 - DUE BY AUGUST 15
 G JANUARY 1 through DECEMBER 31, _____ - DUE BY FEBRUARY 15

FOR OFFICE USE
ONLYPostmark Date: 8/9/07

1. Name: DALY BRENDAN G
 Last First MI

2. Business Address: 2 World Financial Center New York NY 10020
 Street and No. City State Zip

 COMPLIANCE
REGISTRATION
RECEIVED
207 AUG - 9 AM 10:24

Mailing Address: _____

3. Business Phone: (212) 667-9300
 Area Code and Telephone Number

4. Employer: Nomura Securities International, Inc.

5. Employer's address: _____
 Street and No. City State Zip

6. Did you make an expenditure exceeding \$50 on one occasion for a retirement system official:

From January 1 through June 30? Yes No NA
 From July 1 through December 31? Yes No NA

This report was electronically filed. Go to electronically filed reports to view.

If the answer to either question in Number 6 above is YES, complete Schedule A and attach.

7. Did you make expenditures exceeding the sum of \$250 for a retirement system official:

From January 1 through June 30? Yes No NA
 From July 1 through December 31? Yes No NA

If the answer to either question in Number 7 above is YES, complete Schedule A and attach.

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8. PROVIDE BELOW (a) the name of the state or statewide public retirement system; (b) the aggregate total of all expenditures attributable to the retirement system made during the January 1 - June 30 reporting period; (c) the aggregate total of all expenditures attributable to the retirement system made during the July 1 - December 31 reporting period when applicable; (d) the aggregate total of all expenditures made in a calendar year attributable to the retirement system.

- 1) a. Name of Retirement System: _____
- b. Total of all expenditures made January 1 through June 30: \$ _____
- c. Total of all expenditures made July 1 through December 31: \$ _____
(When applicable)
- d. Total of all expenditures made during the calendar year: \$ _____

- 2) a. Name of Retirement System: _____
- b. Total of all expenditures made January 1 through June 30: \$ _____
- c. Total of all expenditures made July 1 through December 31: \$ _____
(When applicable)
- d. Total of all expenditures made during the calendar year: \$ _____

- 3) a. Name of Retirement System: _____
- b. Total of all expenditures made January 1 through June 30: \$ _____
- c. Total of all expenditures made July 1 through December 31: \$ _____
(When applicable)
- d. Total of all expenditures made during the calendar year: \$ _____

CERTIFICATION OF ACCURACY

I hereby certify that the information contained herein is true and correct to the best of my knowledge, information, and belief; that all reportable expenditures have been included herein; and that no information required by LSA-R.S. 42:114.2 has been deliberately omitted.

Brenton Dely
Signature of Filer